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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/812,419	03/20/2001	Takashi Honda	FUJA 18.480	2456
26304	7590	06/28/2005	EXAMINER	
KATTEN MUCHIN ROSENMAN LLP			HO, CHUONG T	
575 MADISON AVENUE			ART UNIT	
NEW YORK, NY 10022-2585			PAPER NUMBER	
			2664	
DATE MAILED: 06/28/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/812,419

Applicant(s)

HONDA ET AL.

Examiner

CHUONG T. HO

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 March 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

1. The amendment filed 03/21/05 have been entered and made of record.

Applicant's arguments filed 03/21/05 have been fully considered but they are not persuasive.

In the page 3, lines 24-25, the applicant alleged that "Unlike Applicants invention as claimed in independent claims 1, 2, 5-11, one of the cited references teach or suggest means for relieving failures occurring at opposing adjacent nodes when LP-S and SF-P are set as the same APS byte".

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies "Unlike Applicants invention as claimed in independent claims 1, 2, 5-11, one of the cited references teach or suggest means for relieving failures occurring at opposing adjacent nodes when LP-S and SF-P are set as the same APS byte" are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

In the page 4, lines 11-13, the Applicant alleged "the cited references also fail to teach how intermediate nodes operate when one of LP-S or SP is received from one direction, and a ring switch request (e.g., SF-R) is received from the other direction".

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies "the cited references also fail to teach how intermediate nodes operate when one of LP-S or SP is received from one direction, and a ring switch request (e.g., SF-R) is

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received from the other direction" are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

2. Claims 1-11 are pending.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1, 3, 2, 5, 4, 8, 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takatori et al. (U.S. Patent No. 5,550,805) in view of Ellis et al. (U.S. Patent No. 6,256,292 B1).

In the claims 1, 8, 9, Takatori et al. having a second node (node A) adjacent to the first node (node E) receive the switch request from the first node (node E) via the optical fibers (see col.6, lines 20-60); Having the second node send a ring switch request to other nodes (nodes D, B) when the second node (node A) detects a failure in the line over which it receives a signal from the first node (node E) (see col. 6, lines 20-60). Takatori is silent to disclosing a first node receiving as input an LP-S (lockout of protection (span)) command.

Ellis et al. discloses the invention further comprises a method for communicating information on a bidirectional line switched ring (BLSR) configuration including a plurality (K) of ring nodes connected by a first and second transmission line (see col. 4, lines 57-67) comprising:

A first node receiving as input an LP-S (Lockout of protection (span)) command (see col. 11, line 26, table 1, K1, table, K2) (see col. 11, lines 11-62) (see col. 10, lines 32-40, lines 55-60);

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Takatori with the teaching of Ellis to receive as input an LP-S (Lockout of protection (span)) command in order to apply the rules associated with bidirectional actions and span switching.

5. In the claims 3, 4, Ellis et al. discloses relay nodes between first node (node C) and second node (node D) enter into a K byte pass-through state allowing only the K bytes pass-through therethrough when receiving a switch request (FS-R, SF-R, SD-R, MS-R, EX-R) having highest priority level directed to second node (node D) from first node (C).

(see col. 10, lines 55-65, col. 11, lines 20-62).

6. In the claims 2, 5, Takatori et al. having a second node (node A) adjacent to the first node (node E) receive the switch request from the first node (node E) via the optical fibers (see col.6, lines 20-60); Having the second node send a ring switch request to other nodes (nodes D, B) when the second node (node A) detects a failure in the line over which it receives a signal from the first node (node E) (see col. 6, lines 20-60).

Takatori is silent to disclosing a first node receiving as input an LP-S (lockout of protection (span)) command.

Ellis et al. discloses the invention further comprises a method for communicating information on a bidirectional line switched ring (BLSR) configuration including a plurality (K) of ring nodes connected by a first and second transmission line (see col. 4, lines 57-67) comprising:

A first node receiving as input an LP-S (Lockout of protection (span)) command (see col. 11, line 26, table 1, K1, table, K2) (see col. 11, lines 11-62) (see col. 10, lines 32-40, lines 55-60);

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Takatori with the teaching of Ellis to receive as input an LP-S (Lockout of protection (span)) command in order to apply the rules associated with bidirectional actions and span switching.

### ***Claim Rejections - 35 USC § 102***

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claim 5 is rejected under 35 U.S.C. 102(e) as being anticipated by Ellis et al.

(U.S. Patent No. 6,256,292 B1)

In the claim 5, Ellis discloses having relay nodes (intermediate nodes C, D) other than two adjacent nodes (nodes A, B) connected to two ends of a span to be switched enter a K-byte pass-through state (see page 2, [0051], assume that node A detecting an alarm becomes the switching node and transmits a request indicating the transmission line failure (SF-RING: Signal Failure Ring) to both of the short path and long path with respect to the opposing node B. The nodes D and C receiving the request via the long path identify the destination B and when recognizing that they are not the destination enter into a "full pass through" state and allow the K1 and K2 bytes and protection line channels to pass through) allowing only the K bytes to pass therethrough due to a span switch request direct from one of two adjacent nodes (nodes A, B) to the other, and having them maintain the K byte pass-through state when they receive a ring switch request (see page 2, [0051], assume that node A detecting an alarm becomes the switching node and transmits a request indicating the transmission line failure (SF-RING: Signal Failure Ring) to both of the short path and long path with respect to the opposing node B. The nodes D and C receiving the request via the long path identify the destination B and when recognizing that they are not the destination enter into a "full pass through" state and allow the K1 and K2 bytes and protection line channels to pass through) directed from one of two adjacent nodes (nodes A, B) to the other under the above entered K byte pass-through state.

9. Claim 5 is rejected under 35 U.S.C. 102(e) as being anticipated by Taniguchi (U.S. Patent No. 2002/0009091 A1).

In the claim 5, Taniguchi discloses having relay nodes (intermediate nodes B, A, F and E assume a full-pass-through state when they see the LPR) other than two adjacent nodes (nodes C, D) connected to two ends of a span to be switched enter a K-byte pass-through state (see col. 10, lines 55-65) allowing only the K bytes to pass therethrough due to a span switch request direct from one of two adjacent nodes (nodes C, D) to the other, and having them maintain the K byte pass-through state when they receive a ring switch request (FS-R, SF-R, SD-R, MS-R, EX-R) (see col. 11, lines 20-60) directed from one of two adjacent nodes (nodes C, D) to the other under the above entered K byte pass-through state (see col. 10, lines 55-60, col. 11, lines 5-60).

***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Takatori et al. (U.S. Patent No. 5,550,805) in view of Richardson (U.S. Patent No. 5,479,608).

In the claim 6, Takatori et al. discloses a first node (node B) receiving a ring switch request from the second node (node E) among two adjacent nodes (B, E) nodes connected to two ends of a span to be switched only transmit a ring switch request corresponding to that ring switch request (see col. 6, lines 15-60, table 1, col. 4, lines 30-47, figure 8).



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However, Takatori et al. is silent to disclosing not execute the related ring switch, and maintain an idle state when the first node has received an LP-S (lockout of protection (span)) command or SF-P (signal fail (protection) command before that.

Richardson discloses not execute the related ring switch, and maintain an idle state when the first node has received an LP-S (lockout of protection (span)) command or SF-P (signal fail (protection) command before that (see col. 10, lines 1-13, protection locked out is highest priority in the group switching request).

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Takatori with the teaching of Richardson to not execute the related ring switch, and maintain an idle state when the first node has received an LP-S (lockout of protection (span)) command or SF-P (signal fail (protection) command before that in order to restore failure quickly in mesh network.

12. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Takatori et al. (U.S. Patent No. 5,550,805) in view of Takeguchi (U.S. Patent No. 6,735,171 B2).

In the claim 11, Takatori et al. discloses a first node (node B) receiving a ring switch request from the second node (node E) among two adjacent nodes (B, E) nodes connected to two ends of a span to be switched only transmit a ring switch request corresponding to that ring switch request (see col. 6, lines 15-60, table 1, col. 4, lines 30-47, figure 8).

However, Takatori et al. is silent to disclosing differentiating between a switch request LP-S (lockout of protection (span)) and a switch request SF-P (signal fail (protection))

using unused bit regions in K bytes when selectively transmitting at least LP-S and SF-P from a node connected to a span to be switched by utilizing the K bytes.

Takeguchi discloses differentiating between a switch request LP-S (lockout of protection (span)) and a switch request SF-P (signal fail (protection)) using unused bit regions in K bytes when selectively transmitting at least LP-S and SF-P from a node connected to a span to be switched by utilizing the K bytes

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Takatori with the teaching of Takeguchi to differentiate between a switch request LP-S (lockout of protection (span)) and a switch request SF-P (signal fail (protection)) using unused bit regions in K bytes when selectively transmitting at least LP-S and SF-P from a node connected to a span to be switched by utilizing the K bytes that in order to restore failure quickly in mesh network.

### ***Claim Rejections - 35 USC § 102***

13. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

14. Claim 10 is rejected under 35 U.S.C. 102(b) as being anticipated by Takatori (U.S. Patent No. 5,550,805).

In the claim 10, Takatori et al. discloses having a first node (node A) of the first node and a second node (node E) adjacent thereto across a span (see figure 14) to be switch receive a switch request (EXER-R) (exerciser (ring)) from the second node during the

execution of a switch request SF-P (signal fail (protection)) (see col. 5, lines 15-17), and having first node transmit a switch request SF-R (signal fail (ring)) (SF-R, Bits 1-4, 1011, see table 1, col. 6, lines 20-60).

***Claim Rejections - 35 USC § 103***

15. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ellis et al. (U.S. Patent No. 6,256,292 B1) in view of Richardson (U.S. Patent No. 5,479,608). Ellis et al. discloses relay nodes other than two adjacent nodes connected to two ends of a span to be switched enter a full pass through (see col. 11, lines 1-3) due to be a ring switch request transmitted from one node of two adjacent nodes to the other node (see col. 11, lines 1-60, col. 4, lines 40-55) and, when they receive a span switch request directed to the other node from one node under the above entered full pass-through state (see col. 11, lines 1-3, in the **passthrough or full passthrough** state) (see col. 11, lines 25-60); enter into the K byte pass-through state allowing only the K bytes to pass therethrough where the span switch request has a higher priority level (see col. 11, lines 40-45) and status code (K 2) according to the ring switch request is not a ring bridge or ring switch (K 1 command) (see col. 11, lines 20-60, figure 11). However, Ellis et al. is silent to disclosing compare priority levels of receiving ring switch request and span switch request.

Richardson discloses compare priority levels of receiving ring switch request (FS-R) and span switch request (FS-S) (see col. 10, lines 1-13, span switch request is highest priority than ring switch request).

Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Ellis with the teaching of Richardson to compare priority levels of receiving ring switch request and span switch request.

in order to compare priority levels of receiving ring switch request and span switch request.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

### ***Conclusion***

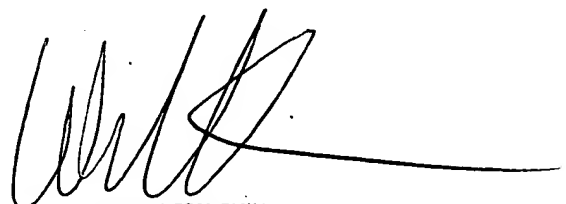
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chuong ho whose telephone number is (571)272-3133. The examiner can normally be reached on Monday-Friday from 8:00AM-4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wellington Chin can be reached on (571) 272-3134. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chuong Ho  
Examiner  
Art Unit 2664

06/22/05



WELLINGTON CHIN  
SUPERVISORY PATENT EXAMINER